



John Malon

Principal

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Background

The funds management and financial services industries have been the focus of John's practice for the majority of his career. He provides strategic advice to clients and regularly engages with boards and senior management. Before joining Hive Legal, John was a partner of King & Wood Mallesons and headed up its Melbourne funds management practice for over 10 years.

Qualifications

- LLB University of Melbourne
- BComm University of Melbourne

Areas of Speciality

- Product development, enhancement, rationalisation and distribution
- Managed investment schemes including ETFs, REITs and hedge funds
- IDPS and other platforms
- Custody and administration
- Licensing and other regulatory issues
- Corporate governance
- Mergers & acquisitions

Industry Experience

- Financial services
- Funds management
- Investment law
- Mergers & acquisitions

Independent recognition

- 2014/15 Funds Management Lawyer of the Year (Melbourne) – Best Lawyers in Australia
- Best Lawyers in Australia, 2011- 2017 Corporate Law, Funds Management Investment Funds, Investment Law, Mergers and Acquisitions Law
- Leading individual, investment funds Chambers Global/Asia Pacific: 2012, 2013 and 2014



Representative transactions:

- Advising **Vanguard Australia** - establishment of the first ETF under the AQUA rules of the ASX
- Advising **AXA Australia** - outsourcing of the custody and administration of its investment assets (\$40 billion)
- Advising a number of offshore financial institutions in relation to the Offer of financial products and services in Australia
- Advising in connection with a regulatory investigation of a major Australian financial institution and a number of its officers
- Advising on regulatory aspects of the acquisition of a major Australian stockbroker
- Advising on **Equity Trustees** - sale of its retail distribution business
- Advising **Equity Trustees** in relation to the establishment of a range of innovative managed investment products
- Advising **Equity Trustees** in connection with its appointment as responsible entity of managed investment schemes managed by leading global investment managers
- Advising on and co-ordinating a major regulatory reform project for a leading Australian custodial services business
- Advising a major Australian financial institution in connection with the appointment of a new global custodian
- Advising a major institution on conflict of interest issues arising in connection with infrastructure investments

